**Finance (FINN)**

**Courses**

**FINN 4013. Seminar in Personal Financial Planning (Sp). 3 Hours.**
Explores financial planning function, including contact, data acquisition, plan development and implementation; covers all areas of personal financial planning including investments, insurance, taxes, and estate planning; addresses planning techniques and financial planning ethical issues; emphasis on case studies. Pre- or Corequisite: FINN 4733. Prerequisite: FINN 3003, FINN 3063, FINN 3623, and ACCT 3843.

**FINN 410V. Special Topics in Finance (Irregular). 1-6 Hour.**
Explore current events, new developments and special topics in Finance not covered in other courses. Prerequisite: FINN 3013. May be repeated for up to 6 hours of degree credit.

**FINN 4133. Advanced Investments (Sp, Fa). 3 Hours.**
Sound training in the principles of security analysis and portfolio management and certain advanced techniques of financial management. Modern portfolio theory and its application to portfolio management practices will be emphasized. Prerequisite: FINN 3063.

**FINN 4143. Portfolio Management I (Fa). 3 Hours.**
This course applies modern investment theory to the practical management of the Rebsamen Trust. Students prepare a statement of investment objectives, recommend an asset allocation strategy based on a quantitative analysis of asset class returns, and select securities using fundamental analysis. Classes are organized as management meetings and visits to investment firms are an important part of the class. Application, interview and instructor approval are required. Corequisite: FINN 3063 and ACCT 3723. Prerequisite: Departmental consent.

**FINN 4153. Portfolio Management II (Sp). 3 Hours.**
This course is a continuation of FINN 4143. Topics covered include technical analysis, dynamic asset allocation and derivative strategies. Visits to major investments firms and organized exchanges in New York City or other locations are generally planned. Selection is by invitation. Prerequisite: FINN 4143 and by invitation only.

**FINN 4233. Advanced Corporate Finance (Irregular). 3 Hours.**
Addresses complex and multifaceted issues and problems in financial decision-making. Prerequisite: FINN 3603.

**FINN 4433. Real Estate Finance and Investment (Sp). 3 Hours.**
Consideration of professional aspects of the real estate field. Emphasis is placed upon finance techniques and investment analysis. The focus is on commercial real estate. Brokerage, property management, appraisal, property development and current problems are also addressed. Students prepare a feasibility study on a commercial development project. Prerequisite: FINN 3933.

**FINN 450V. Independent Study (Irregular). 1-3 Hour.**
Permits students on an individual basis to explore selected topics in finance, with the consent of instructor.

**FINN 5113. Corporate Financial Management (Sp). 3 Hours.**
Financial analysis, planning and control; decision making and modeling for financial managers; and financial policies for management.

**FINN 5173. Energy Finance and Risk Management (Fa). 3 Hours.**
This course provides an advanced introduction to energy finance, defined as the application of finance principles to energy, energy service, and related industries, concerning all aspects of the energy value chain. Topics include: (1) physical fossil fuel markets; (2) physical electricity markets; (3) financially traded energy products; and (4) credit, counterpart, country, and enterprise risk. It also introduces students to business valuation and investment banking applications in the energy industry vertical. Prerequisite: FINN 5113 or FINN 5223. This course is cross-listed with FINN 4173.

**FINN 5223. Financial Markets & Valuation (Sp). 3 Hours.**
Analysis of financial information by capital markets in the determination of security values with specific applications to retail and logistics companies. This course views these and other companies from the point of view of the capital markets. May be repeated for degree credit.

**FINN 5303. Advanced Corporate Financial Management (Irregular). 3 Hours.**
Focus on financial policy issues using real situational cases. Topics include cost of capital, capital budgeting and long-term planning, value-based management, real options, as well as project financing and valuation. Prerequisite: FINN 5223.

**FINN 5333. Investment Theory and Management (Fa). 3 Hours.**
Integration of theory, practice of investments with solution of individual and institutional portfolio management problems; Institute of Chartered Financial Analysts' Problems; variable annuity in estate planning. Prerequisite: FINN 5223.

**FINN 541V. Shollmier Investment Project (Sp, Fa). 1-3 Hour.**
Provide students with the opportunity to design and apply complex investment strategies used in institutional portfolio management on the Shollmier MBA Fund that can involve fixed income and equity securities as well as derivatives. Students will use top down asset allocation models, bottom up security selection, and hedge fund strategies. Prerequisite: FINN 5223 and FINN 5333. May be repeated for up to 9 hours of degree credit.

**FINN 5443. Retail Finance (Sp). 3 Hours.**
The financial success of retail product and service offerings depends on a clear understanding of the socio-economic as well as demographic and environmental factors that drive the changing patterns of consumption. This course introduces the fundamentals and use of consumer and trade area analysis tools, specifically geographic information systems (GIS) and psychographic market analysis, to make informed financial decisions. Extensive case studies are utilized throughout the course to learn concepts and best practices. Prerequisite: FINN 5223.

**FINN 5703. Multinational Business Finance (Irregular). 3 Hours.**
Problems pertinent to managers of firms in multinational business environments, including international institutions, risks, investments and capital budgeting.

**FINN 6043. Finance Theory (Irregular). 3 Hours.**
Provides a conceptual understanding of key theoretical developments in the field of financial economics, including firm decisions under risk within a world of uncertainty.

**FINN 6133. Seminar in Investment Theory (Sp). 3 Hours.**
Study advanced literature in field investments, with special reference to theory of random walks, stock valuation models, portfolio management.

**FINN 6233. Seminar in Financial Management (Irregular). 3 Hours.**
Financial management of firm with emphasis on financial theory or firm, quantitative methods used in financial analysis, planning.

**FINN 6333. Empirical Research in Finance (Irregular). 3 Hours.**
A study of recent empirically based research in finance.

**FINN 636V. Special Problems in Finance (Irregular). 1-6 Hour.**
Case studies in investments, corporation finance, money and banking, monetary theory, international finance, public finance. By arrangement. May be repeated for up to 6 hours of degree credit.
FINN 6733. Seminar in Financial Markets and Institutions (Irregular). 3 Hours.
Recent developments in the literature of financial markets and institutions.
Participants will be involved in the extensive study of existing theories and empirical
tests of the theories.

FINN 683V. Contemporary Issues in Doctoral Colloquium (Sp, Su, Fa). 1-3
Hour.
To explore and evaluate contemporary research issues in finance. Course
content to reflect the most recent developments in theory and empirical research
methodologies. Prerequisite: Doctoral student status and instructor consent. May be
repeated for up to 18 hours of degree credit.

FINN 700V. Doctoral Dissertation (Sp, Fa). 1-18 Hour.
Doctoral Dissertation. Prerequisite: Candidacy. May be repeated for degree credit.